

KERA
CAPITAL PARTNERS
KERA CAPITAL PARTNERS, INC.

**1760 THE ALAMEDA
SAN JOSE CA 95126
PHONE: 408-806-3190**

**2B Brochure
Henry-Hien “Henry” Thich Phan**

August 5, 2021

This brochure supplement provides information about Henry Phan that supplements our brochure. You should have received a copy of that brochure. Please contact Henry Phan if you did not receive Kera Capital Partners, Inc. brochure or if you have any questions about the contents of this supplement. Additional information about Henry Phan is available on the SEC’s website at www.adviserinfo.sec.gov by searching CRD# 6906295.

ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Henry Phan

Year of Birth: 1999

Educational Background:

- University of San Francisco, Bachelor's Degree, Finance, 2020

Business Background:

- Kera Capital Partners, Inc., Investment Adviser Representative, 01/2021- Present
- Nfluence Partners, Financial Adviser Intern, 01/2020 -12/2021
- Vista Wealth Management, Financial Adviser Intern, 07/2019 – 01/2020
- University of San Francisco, Student, 08/2017 – 06/2020

ITEM 3 – DISCIPLINARY INFORMATION

Henry Phan has no history of any legal or disciplinary events that deems to be material to a client's consideration of Henry Phan to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Henry Phan. <https://brokercheck.finra.org/>

ITEM 4 – OTHER BUSINESS ACTIVITIES

Henry Phan does not have other business activities to report.

ITEM 5 – ADDITIONAL COMPENSATION

Henry Phan does not receive any other economic benefit for providing advisory services in addition to advisory fees.

ITEM 6 – SUPERVISION

Henry Phan is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Michael McCabe, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Michael McCabe reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

Michael McCabe may be reached at 408-806-3190.

ITEM 7 – STATE REQUIREMENTS

Henry Phan has not been involved in or found liable in an arbitration claim or civil, self-regulatory organization or administrative proceeding alleging damages in excess of \$2,500, involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Henry Phan has not been the subject of a bankruptcy petition. To the best of our ability all material conflicts of interest are disclosed regarding the Firm, its representatives or any of its employees, which could be reasonably expected to impair the rendering of unbiased and objective advice.